

# Planar graphs, Connectivity, Directed graphs

## Detailed Concepts, Examples, and Exercises

SDB

Spring 2025

# Outline

- 1 Planarity of Graphs
- 2 Connectivity in Graphs
- 3 Directed Graphs Revisited

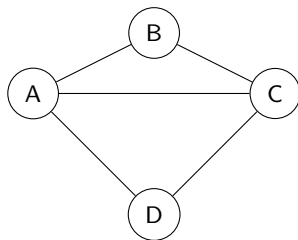
# Introduction to Planar Graphs

**Definition:** A graph is **planar** if it can be drawn on a plane without edge crossings.

## Key Properties:

- Planar graphs have a special structure that follows certain constraints.
- They are crucial in circuit design, geographic mapping, and network visualization.

## Example:



**Exercise:** Show that  $K_4$  is planar but  $K_5$  is not.

# Euler's Formula for Planar Graphs

**Theorem:** For a connected planar graph with  $V$  vertices,  $E$  edges, and  $F$  faces:

$$V - E + F = 2.$$

## Proof Outline:

- Base Case: Holds for trees ( $E = V - 1, F = 1$ ).
- Inductive Step: Adding an edge creates a new face, preserving  $V - E + F$ .

**Exercise:** Verify Euler's formula for a cube's graph representation.

# Characterizations of Planar Graphs

**Kuratowski's Theorem:** A graph is planar if and only if it does not contain a subgraph homeomorphic to  $K_5$  or  $K_{3,3}$ .

**Wagner's Theorem:** A graph is planar if and only if it does not have  $K_5$  or  $K_{3,3}$  as a minor.

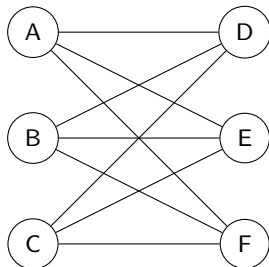
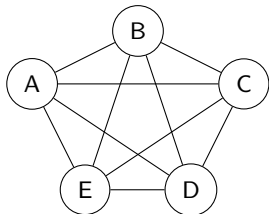
**Exercise:**

- Show that  $K_4$  is planar but  $K_5$  is not.
- Prove that every tree is planar.

# Planarity Testing

**Kuratowski's Theorem:** A graph is non-planar if and only if it contains a subgraph homeomorphic to  $K_5$  or  $K_{3,3}$ .

**Wagner's Theorem:** A graph is planar if and only if it does not have  $K_5$  or  $K_{3,3}$  as a minor.



## Exercise:

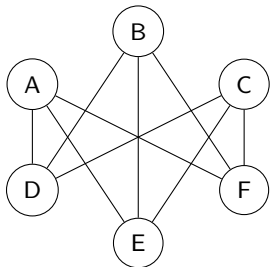
- Prove that  $K_5$  is non-planar using Euler's formula.
- Show that  $K_{3,3}$  is not planar.
- Find a minor in a given graph that makes it non-planar.

# Kuratowski's Theorem vs. Wagner's Theorem on Planarity

## Key Differences:

- **Homeomorphism (Kuratowski's Theorem):** - A graph is homeomorphic to another if it can be obtained by subdividing edges.  
- Kuratowski's theorem looks for these subdivisions explicitly.
- **Minors (Wagner's Theorem):** - A minor is obtained by deleting edges and contracting edges. - Wagner's theorem allows contractions, making it more general.

## Example: A Graph Containing $K_{3,3}$ as a Minor



## Exercise:

- Find a graph that is non-planar by Kuratowski's theorem but not by Wagner's theorem.
- Prove that every graph with a  $K_{3,3}$  minor is non-planar.

# Planarity Testing Algorithms

## Key Algorithms:

- **Kuratowski's Theorem Approach:** Check for  $K_5$  and  $K_{3,3}$  subgraphs.
- **Hopcroft-Tarjan Planarity Algorithm:** Linear-time planarity testing.
- **PQ-Tree Algorithm:** Used for practical graph drawing.

## Hopcroft and Tarjan's Algorithm Steps:

- Uses DFS to test planarity in  $O(n)$  time.
- Identifies and removes Kuratowski subgraphs.

## Exercise:

- Apply Hopcroft and Tarjan's Algorithm to test if a given graph is planar.
- Apply the Hopcroft-Tarjan algorithm to test the planarity of a given graph.



# The Five-Color and Four-Color Theorems

**Five-Color Theorem:** Every planar graph can be properly colored with at most 5 colors.

**Four-Color Theorem (Appel-Haken 1976):** Every planar graph can be colored with at most 4 colors, but proof requires computer verification.

**Exercise:** Prove the Five-Color Theorem using induction.

# 5-Color Theorem

**Theorem:** Every planar graph can be colored with at most 5 colors.

**Sketch of Proof:**

- Uses induction and reducible configurations.
- Stronger than the 6-color theorem but weaker than the 4-color theorem.
- Base case: A simple cycle is 5-colorable.
- Inductive step: Remove a vertex, color remaining graph, reinsert vertex with valid coloring.

**Exercise:**

- Construct a planar graph that requires exactly 5 colors.
- Prove that every planar graph is 6-colorable.

# Key Theorems on Planarity

## Key Theorems:

- **Euler's Formula:**  $V - E + F = 2$  for connected planar graphs.
- **Kuratowski's Theorem:** A graph is planar iff it has no  $K_5$  or  $K_{3,3}$  subgraphs.
- **Wagner's Theorem:** A graph is planar iff it has no  $K_5$  or  $K_{3,3}$  minors.
- **Five-Color and Four-Color Theorems:** Planar graphs can be colored with at most 4 colors.

## Key Algorithms:

- Hopcroft-Tarjan planarity testing.
- PQ-tree method for efficient planarity verification.

## Exercises:

- Prove that every planar graph has a vertex of degree at most 5.
- Find an example of a non-planar graph and explain why.

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# Graph Connectivity – Basics

## Definitions:

- A graph is **connected** if there is a path between any two vertices.
- **Vertex connectivity**  $\kappa(G)$  is the minimum number of vertices needed to disconnect the graph.
- **Edge connectivity**  $\lambda(G)$  is the minimum number of edges needed to disconnect the graph.

## Exercise:

- Find  $\kappa(G)$  and  $\lambda(G)$  for small graphs.

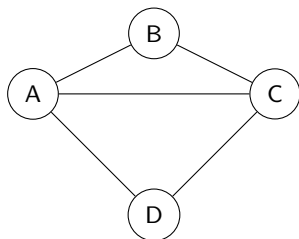
# Introduction to Graph Connectivity

**Definition:** A graph is **connected** if there exists a path between every pair of vertices.

## Types of Connectivity:

- **Vertex Connectivity** ( $\kappa(G)$ ): Minimum number of vertices whose removal disconnects the graph.
- **Edge Connectivity** ( $\lambda(G)$ ): Minimum number of edges whose removal disconnects the graph.

## Example: A Connected Graph



## Exercise:

- Find the vertex and edge connectivity of the graph.
- Construct a disconnected graph with at most 5 vertices.

# Menger's Theorem

**Theorem:** The vertex connectivity  $\kappa(G)$  is equal to the maximum number of internally disjoint paths between any two vertices.

**Exercise:**

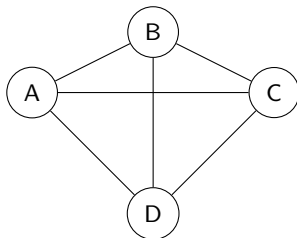
- Prove Menger's theorem for small graphs.
- Identify disjoint paths in a given connected graph.

# Menger's Theorem on Connectivity

**Theorem:** The vertex connectivity  $\kappa(G)$  is equal to the maximum number of pairwise internally disjoint paths between any two vertices.

**Edge Version:** The edge connectivity  $\lambda(G)$  is the maximum number of edge-disjoint paths between two vertices.

**Example:**



**Exercise:** Find the number of pairwise internally disjoint paths between  $A$  and  $C$ .



# Whitney's Theorem on Connectivity

**Theorem:** If a graph is  $k$ -connected, then there exists a cycle passing through any  $k$  vertices.

**Implications:**

- Higher connectivity ensures larger cycles.
- Used in network resilience analysis.

**Exercise:** Construct a 3-connected graph and find a cycle that passes through three vertices.

# Edge Connectivity Theorem

**Theorem:** A graph with edge connectivity  $\lambda(G) \geq k$  remains connected after removing any  $k - 1$  edges.

**Exercise:**

- Show that  $\lambda(K_n) = n - 1$ .
- Find a graph where  $\lambda(G) < \kappa(G)$ .

# Advanced Graph Connectivity – $k$ -Connected Graphs

**Definition:** A graph is  **$k$ -connected** if it remains connected after removing fewer than  $k$  vertices.

**Theorem:** Every  $k$ -connected graph contains a cycle of length at least  $k + 1$ .

**Exercise:**

- Construct a 3-connected graph and verify its properties.

# Expansion Properties and Connectivity

**Definition:** A graph is an **expander** if small vertex sets have many neighbors.

**Cheeger's Inequality:**

$$h(G) \leq 2\lambda_2(G),$$

where  $h(G)$  is the edge expansion and  $\lambda_2(G)$  is the second smallest eigenvalue of the Laplacian.

**Exercise:** Compute the Laplacian matrix of a small connected graph and find  $\lambda_2(G)$ .

# Algorithms for Testing Connectivity

## Common Algorithms:

- **Breadth-First Search (BFS):** Used for testing connected components.
- **Depth-First Search (DFS):** Efficiently finds articulation points.
- **Tarjan's Algorithm:** Finds strongly connected components in  $O(V + E)$ .
- **Stoer-Wagner Algorithm:** Computes global min-cut for edge connectivity.

**Exercise:** Implement BFS and DFS on a sample graph and determine its connectivity.

# Summary of Key Theorems on Graph Connectivity

## Key Theorems:

- **Menger's Theorem:** Relates vertex/edge connectivity to disjoint paths.
- **Whitney's Theorem:** High connectivity implies large cycles.
- **Cheeger's Inequality:** Expansion properties influence connectivity.

## Key Algorithms:

- BFS and DFS for testing connectivity.
- Tarjan's algorithm for strongly connected components.
- Stoer-Wagner algorithm for edge connectivity.

## Exercises:

- Prove Menger's theorem for small graphs.
- Compute the connectivity of a real-world network graph.

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# Basic Concepts of Directed Graphs

**Definition:** A directed graph (**digraph**) consists of vertices connected by directed edges.

**Key Terms:**

- **Out-degree:** Number of edges leaving a vertex.
- **In-degree:** Number of edges entering a vertex.
- **Underlying Graph:** The undirected version of a digraph.

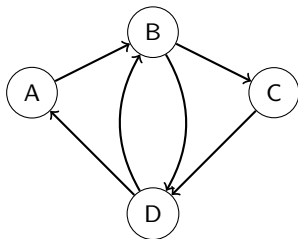


# Eulerian Directed Graphs

**Definition:** A directed graph is **Eulerian** if it contains an Eulerian circuit, a closed walk that visits every edge exactly once.

**Theorem (Necessary and Sufficient Condition):** A directed graph  $G$  has an Eulerian circuit if and only if:

- $G$  is strongly connected.
- Every vertex has equal in-degree and out-degree.



## Exercise:

- Check if the given directed graph is Eulerian.
- Construct a directed Eulerian graph with 5 vertices.

# Algorithm for Finding an Eulerian Circuit

## **Fleury's Algorithm (Modified for Directed Graphs):**

- Step 1: Start at any vertex with nonzero out-degree.
- Step 2: Follow edges one by one, removing them as they are used.
- Step 3: Avoid bridges unless necessary.
- Step 4: Continue until all edges are used.

## **Exercise:**

- Apply Fleury's algorithm to a directed Eulerian graph.
- Modify Fleury's algorithm to work for semi-Eulerian digraphs.

# Key Theorems and Results – Eulerian Directed Graphs

## Fundamental Theorems:

- **Euler's Theorem (Directed Version):** A directed graph has an Eulerian circuit if and only if it is strongly connected and every vertex has equal in-degree and out-degree.
- **Necessary Condition for an Eulerian Path:** A directed graph has an Eulerian path (but not necessarily a circuit) if:
  - ▶ At most one vertex has  $\text{out-degree} - \text{in-degree} = 1$ .
  - ▶ At most one vertex has  $\text{in-degree} - \text{out-degree} = 1$ .
  - ▶ All other vertices have equal in-degree and out-degree.
- **Strong Connectivity and Eulerian Circuits:** If a directed graph contains an Eulerian circuit, it must be strongly connected.
- **Fleury's Algorithm (Adaptation for Digraphs):** An Eulerian circuit can be constructed by repeatedly traversing non-bridge edges.
- **De Bruijn Graphs:** Certain directed graphs (like De Bruijn graphs) always contain Eulerian circuits, useful in DNA sequencing.

## Exercise:

- Prove why strong connectivity is necessary for an Eulerian circuit.
- Construct a directed graph with an Eulerian path but no Eulerian circuit.

# Hamiltonian Directed Graphs

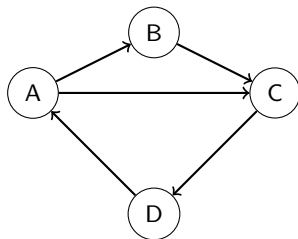
**Definition:** A directed graph is **Hamiltonian** if it contains a Hamiltonian cycle, a cycle that visits every vertex exactly once.

**Dirac's Theorem for Digraphs:** If a strongly connected directed graph with  $n$  vertices satisfies:

$$\text{For every vertex } v, \quad d^+(v), d^-(v) \geq \frac{n}{2},$$

then  $G$  has a Hamiltonian cycle.

**Example: A Hamiltonian Digraph**



**Exercise:**

- Verify Dirac's theorem on a directed graph with 6 vertices.
- Find a directed graph that is not Hamiltonian.
- Identify a Hamiltonian cycle in the given digraph.

# Algorithm for Finding a Hamiltonian Path

## Backtracking Algorithm:

- Step 1: Start at an arbitrary vertex.
- Step 2: Try extending the path by visiting an unvisited vertex.
- Step 3: If all vertices are visited exactly once, return the path.
- Step 4: If a dead-end is reached, backtrack.

## Exercise:

- Implement the Hamiltonian path algorithm for a small directed graph.
- Show an example where the algorithm fails.

# Key Theorems and Results – Hamiltonian Directed Graphs

## Fundamental Theorems:

- **Dirac's Theorem (Directed Version):** A strongly connected directed graph with  $n$  vertices has a Hamiltonian cycle if for all  $v$ :  $d^+(v), d^-(v) \geq \frac{n}{2}$ .
- **Ghouila-Houri Theorem:** If  $G$  is a directed graph where:  $d^+(v) + d^-(v) \geq n$  for every vertex  $v$ , then  $G$  has a Hamiltonian cycle.
- **Tournament Hamiltonicity:** Every tournament has a Hamiltonian path. A strongly connected tournament has a Hamiltonian cycle.
- **Chvátal's Theorem (Generalization for Digraphs):** A digraph satisfies a degree-based condition for Hamiltonicity if:  $k \geq n/2 \Rightarrow d^+(v) + d^-(w) \geq n$  for any distinct vertices  $v, w$ .
- **NP-Completeness of Hamiltonian Cycle Problem:** Finding a Hamiltonian cycle in a directed graph is NP-complete.
- **Ore's Theorem for Directed Graphs:** If  $d^+(u) + d^-(v) \geq n$  for all non-adjacent pairs, then the digraph has a Hamiltonian cycle.

## Exercise:

- Apply Dirac's theorem to verify Hamiltonicity in a given digraph.
- Construct a tournament that has a Hamiltonian cycle.

# Introduction to De Bruijn Graphs

**Definition:** A **De Bruijn graph**  $B(k, n)$  is a directed graph whose vertices represent sequences of length  $n$  over an alphabet of size  $k$ , with edges denoting shifts of these sequences.

**Example:**  $B(2, 3)$  represents all binary sequences of length 3.

**Key Properties:**

- Each vertex has exactly  $k$  incoming and  $k$  outgoing edges.
- It has an Eulerian circuit if and only if it is strongly connected.
- It has a Hamiltonian cycle (de Bruijn sequence) covering all length- $n$  sequences.

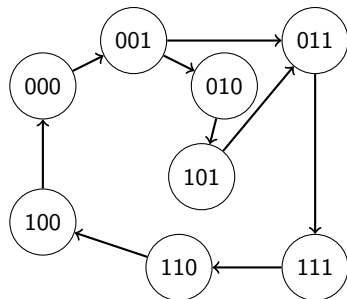
**Exercise:**

- Construct the De Bruijn graph  $B(3, 2)$  for ternary strings of length 2.

# Construction of De Bruijn Graphs (Example $B(2, 3)$ )

**Example:** The De Bruijn graph  $B(2, 3)$  consists of:

- Vertices: All binary strings of length 3 ( $\{000, 001, 010, 011, 100, 101, 110, 111\}$ ).
- Directed edges: Connecting a sequence to the next by shifting left and appending a bit.



**Exercise:**

- Verify that every node has in-degree and out-degree 2.



# Properties of De Bruijn Graphs

## Key Properties:

- Each vertex represents a unique sequence of length  $n$ .
- Each vertex has exactly  $k$  incoming and  $k$  outgoing edges.
- It has an Eulerian circuit if and only if it is strongly connected.
- It has a Hamiltonian cycle (de Bruijn sequence) covering all length- $n$  sequences.
- The total number of edges is  $k^n$ , and the total number of vertices is  $k^{n-1}$ .

## Exercise:

- Prove that  $B(k, n)$  has an Eulerian circuit.
- Show that  $B(k, n)$  has a Hamiltonian path.

# Eulerian and Hamiltonian Properties of De Bruijn Graphs

**Eulerian Property:** A De Bruijn graph is Eulerian since every vertex has equal in-degree and out-degree.

**Hamiltonian Property:** De Bruijn graphs contain a Hamiltonian cycle (de Bruijn sequence), which visits every possible sequence exactly once.

**Theorem:** The de Bruijn sequence of order  $n$  over an alphabet of size  $k$  corresponds to a Hamiltonian cycle in  $B(k, n)$ .

**Exercise:**

- Find a de Bruijn sequence for  $B(2, 3)$ .
- Prove that every Eulerian circuit in  $B(k, n)$  can be transformed into a de Bruijn sequence.

# Applications of De Bruijn Graphs

## Key Applications:

- **DNA Sequencing:** Used to reconstruct genome sequences by aligning  $k$ -mers.
- **Networking:** De Bruijn graphs are used for efficient routing in peer-to-peer networks.
- **Cryptography:** De Bruijn sequences help in key generation and secure communications.

## Example: DNA Assembly Using De Bruijn Graphs

- Given DNA reads of length  $k$ , construct the De Bruijn graph.
- Eulerian paths reconstruct the genome.

## Exercise:

- Explain why Eulerian paths are preferred over Hamiltonian paths in DNA sequencing.

# Key Takeaways of De Bruijn Graphs

- De Bruijn graphs represent sequences of length  $n$  over an alphabet of size  $k$ .
- Every De Bruijn graph is Eulerian and has a Hamiltonian cycle.
- The de Bruijn sequence corresponds to a Hamiltonian cycle in the graph.
- Applications in DNA sequencing, networking, and cryptography.

## Exercises:

- Construct  $B(2, 4)$  and find its Eulerian circuit.
- Find the shortest de Bruijn sequence for  $k = 3, n = 2$ .

# Relationship Between Eulerian and Hamiltonian Directed Graphs

## Key Differences:

- Eulerian graphs focus on edges (visiting every edge exactly once).
- Hamiltonian graphs focus on vertices (visiting every vertex exactly once).

**Theorem:** If a directed graph is both Eulerian and Hamiltonian, then it must satisfy:

- Strong connectivity.
- Equal in-degree and out-degree.
- Hamiltonian cycle existence conditions.

## Exercise:

- Find a graph that is Eulerian but not Hamiltonian.
- Construct a digraph that is both Eulerian and Hamiltonian.

# Eulerian and Hamiltonian Directed Graphs

## Eulerian Directed Graphs:

- Contains an Eulerian circuit if it is strongly connected and has equal in-degree and out-degree.
- Fleury's algorithm helps in finding Eulerian circuits.

## Hamiltonian Directed Graphs:

- Contains a Hamiltonian cycle if Dirac's theorem conditions hold.
- Finding Hamiltonian paths is NP-complete.

## Key Differences:

- Eulerian graphs focus on edges, Hamiltonian graphs focus on vertices.
- Eulerian circuits are easy to find, while Hamiltonian cycles are difficult to determine.

## Exercises:

- Find an example of a digraph that is Eulerian but not Hamiltonian.
- Construct a directed graph that satisfies Dirac's theorem.

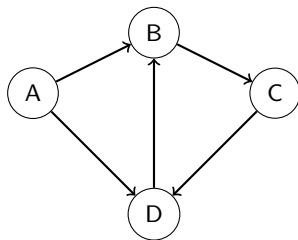
# Tournaments in Directed Graphs

**Definition:** A **tournament** is a directed graph obtained by assigning a direction to each edge in a complete graph.

**Key Properties:**

- Every tournament has a directed Hamiltonian path.
- A tournament has a unique king (a vertex that can reach all others in at most two steps).

**Example: A Tournament Graph**



**Exercise:**

- Find a Hamiltonian path in the tournament.
- Identify the king in the tournament.

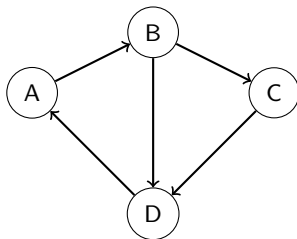
# Definition of a King in a Tournament

**Definition:** In a tournament (a directed complete graph), a vertex  $v$  is called a **king** if it can reach every other vertex within at most two steps.

## Key Observations:

- Every tournament has at least one king.
- A king may not be the overall winner (dominant vertex).

## Example:



**Exercise:** Identify the kings in this tournament.



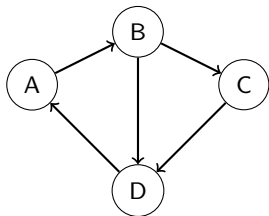
# Theorem: Every Tournament Has at Least One King

**Theorem:** In any tournament, there exists at least one king.

**Proof Idea:**

- Consider the vertex with the highest out-degree.
- If this vertex is not a king, another vertex must dominate it and be a king.

**Example: Tournament with Multiple Kings**



**Exercise:**

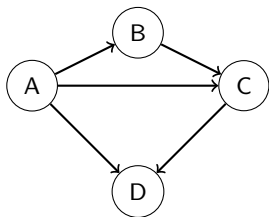
- Prove that this tournament has a king.
- Find an example of a tournament with exactly one king.

# Dominance Order and Kings in Tournaments

**Definition:** The **dominance order** of a tournament arranges vertices such that if  $u$  dominates  $v$ , then  $u$  is ranked higher.

**Key Theorem:** If a vertex has the second-highest out-degree, it must be a king.

**Example: A Tournament with a Clear King**



**Exercise:**

- Identify the king in this tournament.
- Prove that the second-highest out-degree vertex is a king.

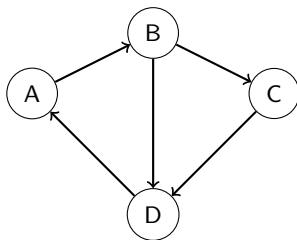
# Hamiltonian Paths in Tournaments

**Theorem:** Every tournament has a Hamiltonian path.

**Proof Sketch:**

- Construct a sequence of vertices by repeatedly inserting each vertex in its correct position.
- Since every pair of vertices has a directed edge, a Hamiltonian path always exists.

**Example:**



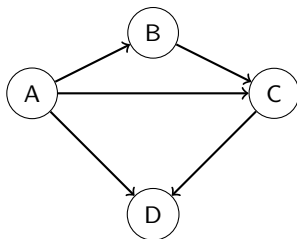
**Exercise:** Find a Hamiltonian path in this tournament.

# Transitivity in Tournaments

**Definition:** A tournament is **transitive** if it has a vertex ordering such that if  $u \rightarrow v$  and  $v \rightarrow w$ , then  $u \rightarrow w$ .

**Theorem:** A tournament is transitive if and only if it is acyclic.

**Example:**



**Exercise:** Show that this tournament is transitive.

# Strong Connectivity in Tournaments

**Theorem:** Every tournament is strongly connected if and only if it contains a directed cycle.

**Proof Sketch:**

- Every tournament has a directed Hamiltonian path.
- If a tournament is not strongly connected, then it must be transitive.

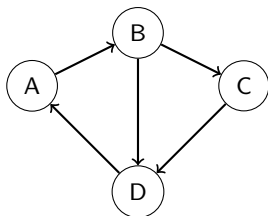
**Exercise:** Prove that a non-transitive tournament is always strongly connected.

# Kings in Tournaments – Further Properties

## Key Theorems:

- A king in a tournament may not be the overall champion.
- Every tournament has at least one vertex that is a king.
- The second-highest out-degree vertex is always a king.

## Example: A Tournament with Multiple Kings



## Exercise:

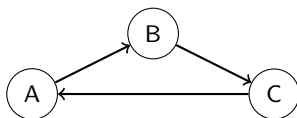
- Identify all kings in this tournament.
- Show that the second-highest out-degree vertex is always a king.

# Cycles in Tournaments

## Key Properties:

- Every tournament has at least one directed cycle.
- If a tournament is not transitive, then it has a directed triangle.

## Example:



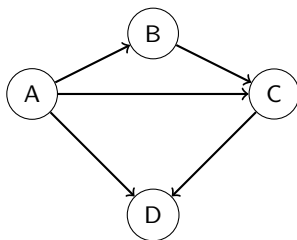
**Exercise:** Find a directed cycle in the given tournament.

# Transitivity in Tournaments

**Definition:** A tournament is **transitive** if there exists a total ordering of the vertices such that for every directed edge  $(u, v)$  and  $(v, w)$ , we also have  $(u, w)$ .

**Theorem:** A tournament is transitive if and only if it contains no directed cycles.

**Example:**



**Exercise:** Prove that a transitive tournament has a unique Hamiltonian path.



# Dominating Sets in Tournaments

**Definition:** A **dominating set** in a tournament is a set of vertices such that every vertex outside the set is dominated by at least one vertex inside the set.

**Theorem:** Every tournament has a dominating set of size at most  $\lceil n/2 \rceil$ .

**Proof Idea:**

- Partition the vertices into two groups: those with high out-degree and those with low out-degree.
- Show that one of these groups dominates the tournament.

**Exercise:** Find the smallest dominating set in a given tournament.

# Fixed-Point Properties in Tournaments

**Definition:** A fixed point in a tournament is a vertex  $v$  such that for every subset of vertices containing  $v$ , the tournament restricted to that subset retains its structural properties.

**Key Result:** In every tournament, there exists at least one vertex with a unique minimal out-degree.

## Implications:

- This result helps in ranking-based decision models.
- It guarantees that some player in a round-robin tournament is never the worst performer.

**Exercise:** Identify a fixed point in a given tournament.

# Key Results on Tournaments

## Key Theorems and Results:

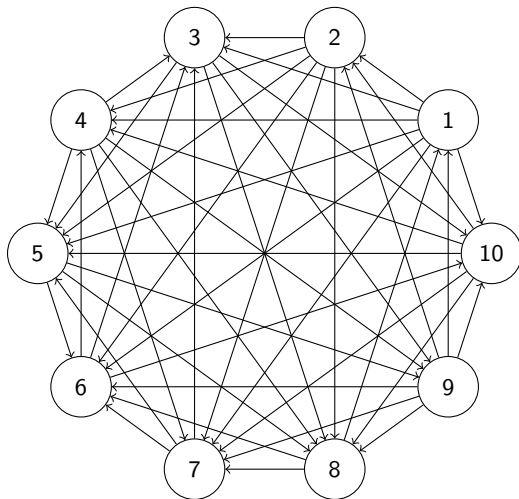
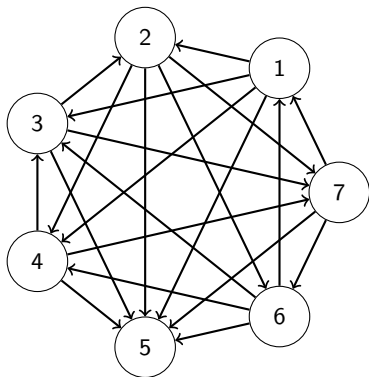
- Every tournament has a Hamiltonian path.
- Transitive tournaments are acyclic.
- A tournament is strongly connected if and only if it contains a cycle.
- Every tournament has at least one king.
- The second-highest out-degree vertex is always a king.
- Transitive tournaments correspond to strict total orders.
- Every transitive tournament has a unique Hamiltonian path.
- A tournament is transitive if and only if it has no directed cycles.
- Every tournament has a dominating set of size at most  $\lceil n/2 \rceil$ .
- Tournaments have fixed-point properties that influence ranking decisions.

## Exercises:

- Construct a tournament with exactly one king.
- Show that every tournament has a Hamiltonian path.
- Identify a tournament that is both transitive and has a king.
- Prove that a tournament with an even number of vertices has a dominating set of size  $n/2$ .
- Find an example of a tournament that is not transitive but has a unique Hamiltonian path.

# Larger Tournament Graphs

Test out the Theorems



# Comprehensive Summary I

## Planar Graphs:

- Definition and examples.
- Euler's Formula:  $V - E + F = 2$ .
- Kuratowski's Theorem: Non-planar graphs contain  $K_5$  or  $K_{3,3}$ .
- 5-Color Theorem: Every planar graph is 5-colorable.

## Directed Graphs:

- Definitions of in-degree, out-degree, and underlying graph.
- Eulerian Directed Graphs: Strongly connected and equal in-degree/out-degree.
- Hamiltonian Directed Graphs: Dirac's Theorem and NP-completeness.
- Tournaments: Every tournament has a Hamiltonian path.

# Outline

- 4 Appendix
  - Background
  - More on Planarity
  - 4-color Theorem
  - More on Connectivity

# Outline

## 4 Appendix

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# Graph Homomorphism – Definition & Examples

**Definition:** A **homomorphism** from a graph  $G = (V_G, E_G)$  to a graph  $H = (V_H, E_H)$  is a function:

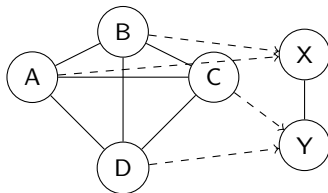
$$f : V_G \rightarrow V_H$$

such that if  $(u, v) \in E_G$ , then  $(f(u), f(v)) \in E_H$ .

## Key Properties:

- **Preserves adjacency** but does not necessarily preserve non-adjacency.
- Used in **graph colorings**, constraint satisfaction problems, and algebraic graph theory.

**Example:** A homomorphism from  $K_4$  to  $K_2$ .



## Exercise:

- Find a homomorphism from  $C_6$  (cycle graph) to  $K_3$ .



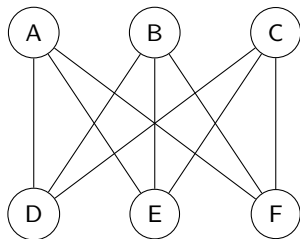
# Graph Homeomorphism – Definition & Examples

**Definition:** Two graphs  $G$  and  $H$  are **homeomorphic** if one can be obtained from the other by **subdividing edges** (inserting degree-2 vertices).

## Key Properties:

- **Topological equivalence** of graphs.
- Used in **planarity testing** and **graph embeddings**.

**Example:**  $K_{3,3}$  and a homeomorphic version with subdivision.



## Exercise:

- Subdivide edges in  $K_5$  to create a homeomorphic graph.

# Homeomorphism vs. Homomorphism in Graphs

## Key Differences:

- Homeomorphism relates to **subdivision of edges**, while homomorphism relates to **mapping vertices**.
- Homeomorphism preserves **topological structure**, while homomorphism preserves **adjacency**.

## Exercise:

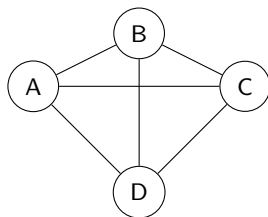
- Find a homomorphism from  $K_4$  to  $K_2$ .
- Show that two graphs with a subdivision of the same edge are homeomorphic.

# Graph Minors and Edge Contractions

## Definition:

- A graph  $H$  is a **minor** of  $G$  if it can be obtained from  $G$  by deleting edges, deleting vertices, and contracting edges.
- **Edge Contraction:** Replacing an edge  $(u, v)$  with a single vertex merging  $u$  and  $v$ .

**Example:** Contraction of  $K_5$  leads to a graph minor.



**Exercise:** Find a minor of  $K_5$  by contracting edges.

# Graph Minors and Edge Contractions

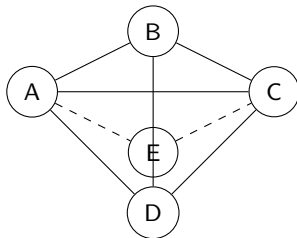
**Definition:** A graph  $H$  is a **minor** of  $G$  if it can be obtained from  $G$  by:

- **Deleting vertices**,
- **Deleting edges**, or
- **Contracting edges** (merging endpoints into one).

**Key Properties:**

- Graph minors are used in **Wagner's theorem** for planarity testing.
- The **Graph Minor Theorem (Robertson-Seymour)** states that any infinite sequence of graphs contains a minor of another.

**Example:**  $K_5$  contracting to a smaller minor.



**Exercise:**

# Applications of Graph Minors and Contractions

## Why Are Graph Minors Important?

- Used in **Kuratowski's Theorem** to determine planarity.
- **Graph Minor Theorem (Robertson-Seymour Theorem)** states that for any infinite sequence of graphs, one is a minor of another.
- Edge contractions help in **network simplifications**.

**Exercise:** Apply edge contractions to simplify a given network graph.

# Summary of Graph Transformations

## Key Concepts:

- **Homomorphism:** Maps vertices while preserving adjacency.
- **Homeomorphism:** Graphs equivalent under edge subdivisions.
- **Minors:** Obtained by vertex/edge deletions and contractions.
- **Contractions:** Merging adjacent vertices into one.

## Key Applications:

- Planarity testing (Kuratowski's & Wagner's theorems).
- Graph transformations in network reductions.
- Algebraic graph theory and constraint problems.

## Exercises:

- Show that every homeomorphic transformation is a minor but not vice versa.
- Construct an example where homomorphism exists but homeomorphism does not.

# Outline

## 4 Appendix

- Background
- More on Planarity
- 4-color Theorem
- More on Connectivity

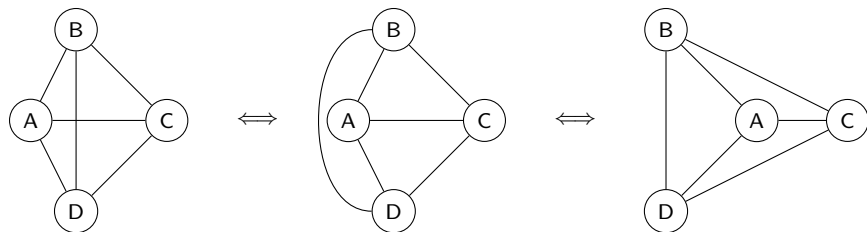
# Fáry's Theorem – Planar Graphs with Straight-Line Edges

**Theorem:** Every planar graph can be drawn in the plane with straight-line edges.

## Proof Idea:

- Uses induction on the number of vertices.
- Applies triangulation and barycentric placement.

## Example:



## Exercise:

- Draw a planar graph using only straight-line edges.



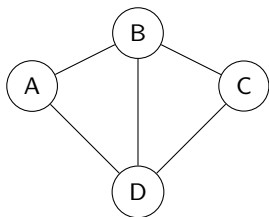
# Whitney's Duality Theorem

**Theorem:** Every connected planar graph has a unique dual graph (up to isomorphism).

**Key Concepts:**

- The dual graph  $G^*$  is formed by placing a vertex in each face of  $G$ .
- Edges of  $G^*$  correspond to edges crossing between faces in  $G$ .

**Example: Dual of a Planar Graph**



**Exercise:**

- Find the dual of a given planar graph.
- Prove that the dual of a tree is always a cycle.

# Crossing Number and Beyond Planarity

**Definition:** The crossing number  $\text{cr}(G)$  of a graph  $G$  is the minimum number of edge crossings required in any drawing of  $G$ .

**Key Theorem (Turán's Brick Factory Problem):**

$$\text{cr}(K_n) \geq \frac{1}{4} \left\lfloor \frac{n}{2} \right\rfloor \left\lfloor \frac{n-1}{2} \right\rfloor$$

**Exercise:**

- Find the crossing number of  $K_5$  and  $K_{3,3}$ .
- Show that every planar graph has  $\text{cr}(G) = 0$ .

# Outline

## 4 Appendix

- Background
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- More on Connectivity

# Introduction to the 4-Color Theorem

**Statement:** Every planar graph can be properly colored using at most 4 colors.

## Why is this Important?

- Solves the problem of coloring maps on a plane.
- First major theorem proved using a computer.

**Exercise:** Show that the Petersen graph is non-planar, so the 4-Color Theorem does not apply.

# Historical Background of the 4-Color Theorem

## Key Milestones:

- 1852 – First observed by Francis Guthrie while coloring maps.
- 1879 – Alfred Kempe published an incorrect proof.
- 1890 – Percy Heawood corrected Kempe's mistake but proved the 5-Color Theorem.
- 1976 – Kenneth Appel and Wolfgang Haken proved the theorem using a computer.

**Exercise:** Research and summarize why Appel and Haken's proof was controversial.

# Proof Idea – Appel & Haken's Approach

## Key Strategy:

- Reduce the problem to a finite set of "unavoidable configurations."
- Use a computer to check all cases.

## Challenges:

- Proof relied on 1,200 hours of computer calculations.
- Initially criticized due to lack of human-verifiable steps.

**Exercise:** Explain why reducible configurations are important in proving the 4-Color Theorem.

# Implications & Applications

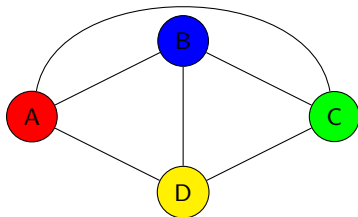
## Why Does This Matter?

- **Graph Theory:** Strengthens understanding of planar graphs.
- **Map Coloring:** Helps in real-world geographical problems.
- **Computer Science:** Led to the development of automated theorem proving.

**Exercise:** Find a real-world problem where 4-coloring is applicable.

## Example: A 4-Colorable Planar Graph

### Graph Representation:



**Exercise:** Try coloring the graph with only 3 colors. Is it possible?



# Outline

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# $k$ -Connected Graphs and Dirac's Theorem

**Definition:** A graph is  $k$ -connected if it remains connected after removing any  $k - 1$  vertices.

**Dirac's Theorem:** A  $k$ -connected graph with  $n$  vertices has a cycle of length at least  $k + 1$ .

**Exercise:**

- Show that  $K_n$  is  $(n - 1)$ -connected.
- Construct a 3-connected graph and find its largest cycle.

# Global and Local Connectivity Relations

**Menger's Theorem:** The vertex connectivity  $\kappa(G)$  is equal to the maximum number of internally disjoint paths between any two vertices.

**Exercise:**

- Find the connectivity of a given graph.
- Prove Menger's theorem for a simple case.

# Expander Graphs and Spectral Graph Theory

**Definition:** An expander graph is a sparse graph that has strong connectivity properties.

**Cheeger's Inequality:**

$$h(G) \leq 2\lambda_2(G)$$

where  $h(G)$  is the edge expansion and  $\lambda_2(G)$  is the second smallest eigenvalue of the Laplacian matrix.

**Exercise:**

- Compute the Laplacian matrix of a given graph.
- Show how eigenvalues relate to connectivity.

# Edge Disjoint Spanning Trees

**Theorem:** A graph  $G$  contains  $k$  edge-disjoint spanning trees if and only if it remains connected after removing any  $k - 1$  edges.

**Exercise:**

- Find two edge-disjoint spanning trees in a given graph.
- Prove that a 3-connected graph has at least two edge-disjoint spanning trees.

# Summary

## Planar Graphs:

- Fáry's Theorem – Planar graphs with straight-line edges.
- Whitney's Duality – Relationship between graphs and their duals.
- Crossing Number – Generalization of planarity.

## Graph Connectivity:

- $k$ -Connected Graphs – Dirac's Theorem.
- Menger's Theorem – Relationship between paths and connectivity.
- Expander Graphs – Spectral properties and applications.
- Edge Disjoint Spanning Trees – Structural connectivity properties.